

Computing simple-path convex hulls in hypergraphs

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ABSTRACT

In a connected hypergraph a vertex set X is *simple-path convex* (*sp-convex*, for short) if either $|X| \leq 1$ or X contains every vertex on every simple path between two vertices in X (Faber and Jamison, 1986 [7]), and the *sp-convex hull* of a vertex set X is the minimal superset of X that is *sp-convex*. In this paper, we give a polynomial algorithm to compute *sp-convex* hulls in an arbitrary hypergraph.

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1. Introduction

While several convexity notions exist for graphs (e.g., *g-convexity* [7], *m-convexity* [5,7], *ap-convexity* [4], *tp-convexity* [3], Steiner convexity [2,10]), fewer convexity notions have been defined explicitly for hypergraphs. The first hypergraph convexity that has been introduced is *simple-path convexity* (*sp-convexity*, for short) [7], which is a generalization of *ap-convexity*. Recently [8], *m-convexity* has been generalized to hypergraphs and another hypergraph convexity, which is stronger than *m-convexity* and is called *c-convexity*, has been introduced; moreover, efficient algorithms to compute *m-convex* and *c-convex* hulls have been given [8]. On the other hand, no result on the complexity of the problem of computing the *sp-convex* hull of a vertex set exists except for the case that the family of *sp-convex* sets is a convex geometry, in which case an efficient algorithm can be easily derived from well-known properties of totally balanced hypergraphs [1,7]. In this paper we state a characterization of *sp-convex* sets, which leads to solve the *sp-convex* hull problem in an arbitrary hypergraph in $O(n^3ms)$ time where n is the number of its

vertices, m is the number of its edges and s is the sum of the cardinalities of its edges.

The rest of the paper is organized as follows. Section 2 contains basic notions on hypergraphs and simple-path convexity. In Section 3 we present an *sp-convex* hull algorithm for totally balanced hypergraphs. In Section 4 we first state a characterization of *sp-convex* sets in an arbitrary hypergraph and, then, give our *sp-convex* hull algorithm.

2. Definitions

In this section we recall some hypergraph-theoretic definitions from [6].

A *hypergraph* is a (possibly empty) set \mathbf{H} of nonempty sets; the elements of \mathbf{H} are called the (*hyper*)edges of \mathbf{H} and their union the *vertex set* of \mathbf{H} , denoted by $V(\mathbf{H})$. The *degree* of a vertex of \mathbf{H} is the number of edges containing it.

A hypergraph is *trivial* if it has only one edge, and *non-trivial* otherwise. A *partial hypergraph* of hypergraph \mathbf{H} is a nonempty subset of \mathbf{H} .

The *subhypergraph* of \mathbf{H} induced by a nonempty subset X of $V(\mathbf{H})$ is the hypergraph $\{A \cap X : A \in \mathbf{H}\} \setminus \{\emptyset\}$.

A *path* between two vertices a and b of \mathbf{H} is a sequence $\pi = (a_0, A_1, a_1, \dots, A_k, a_k)$, $k \geq 0$, where $a_0 = a$, $a_k = b$, and if $k \geq 1$ the a_i 's are pairwise distinct vertices of \mathbf{H} , the

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A_i 's are pairwise distinct edges of \mathbf{H} , and $\{a_{i-1}, a_i\} \subseteq A_i$ for $1 \leq i \leq k$; by $V(\pi)$ and $\mathbf{H}(\pi)$ we denote the set of vertices and edges on the path π , respectively, that is, $V(\pi) = \{a_0, a_1, \dots, a_k\}$ and $\mathbf{H}(\pi) = \{A_1, \dots, A_k\}$. If \mathbf{H} is a graph (i.e., every edge has cardinality less than 3), then path $\pi = (a_0, A_1, a_1, \dots, A_k, a_k)$ will be written simply as (a_0, a_1, \dots, a_k) and is *chordless* if no two non-consecutive vertices are adjacent in \mathbf{H} .

Two vertices a and b of a hypergraph are *connected* if there exists a path between a and b . A hypergraph is *connected* if every two vertices are connected. The *connected components* of a hypergraph are its maximal connected partial hypergraphs.

A path π in \mathbf{H} is *simple* [7] if $|A \cap V(\pi)| = 2$ for each edge A of $\mathbf{H}(\pi)$. Note that in a graph every path is simple.

Remark 1. Let $\pi = (a_0, A_1, a_1, \dots, A_k, a_k)$ be a path between a and b in \mathbf{H} . Let $i(1) = \max\{h \leq k: a_0 \in A_h\}$. Then, $\pi_1 = (a_0, A_{i(1)}, a_{i(1)}, \dots, A_k, a_k)$ is a path between a and b in \mathbf{H} . If $i(1) = k$ then π_1 is a simple path between a and b in \mathbf{H} . Otherwise, let $i(2) = \max\{i(1) \leq h \leq k: a_{i(1)} \in A_h\}$. Then, $\pi_2 = (a_0, A_{i(1)}, a_{i(1)}, A_{i(2)}, a_{i(2)}, \dots, A_k, a_k)$ is a path between a and b in \mathbf{H} . If $i(2) = k$ then π_2 is a simple path between a and b in \mathbf{H} . And so on. Thus, we can construct a simple path between a and b in \mathbf{H} .

Remark 2. Let $\pi = (a_0, A_1, a_1, \dots, A_k, a_k)$ be a simple path in \mathbf{H} . If $\mathbf{H}(\pi)$ contains a vertex c that is not in $V(\pi)$ and has degree 2 or more, then c is on the simple path $\pi' = (a_0, A_1, a_1, \dots, A_{i'}, c, A_{i''}, a_{i''}, \dots, A_k, a_k)$ where $i' = \min\{h \leq k: c \in A_h\}$ and $i'' = \max\{h \leq k: c \in A_h\}$.

A *simple circuit* [7] is a sequence $(a_0, A_1, a_1, \dots, A_{k-1}, a_{k-1}, A_k, a_0)$, $k \geq 2$, where $(a_0, A_1, a_1, \dots, A_{k-1}, a_{k-1})$ is a simple path and $A_k \cap \{a_0, a_1, \dots, a_{k-1}\} = \{a_0, a_{k-1}\}$; the *length* of the simple circuit is the number k of its edges. A hypergraph \mathbf{H} is *totally balanced* if \mathbf{H} contains no simple circuit of length greater than 2.

A vertex of a hypergraph is a *nest vertex* [7] (corresponding to a *simple row* [1] of the vertex-edge incidence matrix of \mathbf{H}) if the edges containing it form a nested (that is, totally ordered with respect to set-inclusion) family of sets. A hypergraph is totally balanced if and only if every induced subhypergraph of \mathbf{H} has a nest vertex [1,7]. Based on this characterization of totally balanced hypergraphs, Anstee and Farber [1] gave a recognition algorithm for totally balanced hypergraphs, which runs in $O(n^2m)$ time if the input hypergraph has n vertices and m edges and consists in recursively deleting nest vertices.

Let \mathbf{H} be a connected hypergraph. The *sp-interval* between two vertices a and b of \mathbf{H} is the set $I(a, b)$ which consists of every vertex on any simple path between a and b . A subset X of $V(\mathbf{H})$ is *sp-convex* if either X is empty or X contains $I(a, b)$ for every two vertices in X . The *sp-convex hull* of a subset X of $V(\mathbf{H})$ is the minimal superset of X that is *sp-convex*.

Let X be an *sp-convex* set of \mathbf{H} . A vertex v in X is an *extreme point* of X if the set $X \setminus \{v\}$ is *sp-convex*. The family of *sp-convex* sets of \mathbf{H} is a *convex geometry* if every *sp-convex* set equals the *sp-convex* hull of the set of its

extreme points. In [7] it was proven that this is the case if and only if \mathbf{H} is totally balanced.

3. Background

A brute-force method for constructing the *sp-convex* hull of a vertex set $X \subseteq V(\mathbf{H})$ begins by setting $Y := X$; then, till we can no longer enlarge Y , we repeatedly add to Y the set $I(a, b)$ for every two vertices a and b in Y . Unfortunately, this procedure is not efficient because, for a given value of Y it is NP-hard to compute $I(a, b)$ for two given vertices a and b in Y . To see it, let $G(\mathbf{H})$ be the bipartite graph with bipartition $(V(\mathbf{H}), \mathbf{H})$ where there is an arc (a, A) , $a \in V(\mathbf{H})$ and $A \in \mathbf{H}$, if and only if $a \in A$. For convenience, we call the elements of $V(\mathbf{H})$ and \mathbf{H} the *vertex-nodes* and *edge-nodes* of $G(\mathbf{H})$, respectively. Note that a path in \mathbf{H} is simple if and only if it is a chordless path in $G(\mathbf{H})$, that is, no edge-node on the path is adjacent to three vertex-nodes on the path. As proven in [9], given three vertices a, b and c of a bipartite graph it is NP-complete to decide whether or not c is on a chordless path between a and b . In other words, it is NP-complete to decide whether or not c belongs to $I(a, b)$.

In the special case that \mathbf{H} is a totally balanced hypergraph (in which case the family of *sp-convex* sets of \mathbf{H} is a convex geometry), the following result easily entails the problem of computing *sp-convex* hulls is polynomial.

Proposition 1. (See Corollary 5.8 in [7].) Let \mathbf{H} be a totally balanced and connected hypergraph. A subset X of $V(\mathbf{H})$, is *sp-convex* if and only if there is an ordering a_1, a_2, \dots, a_m of the vertices in $V(\mathbf{H}) \setminus X$ such that, for all $i = 1, \dots, m$, a_i is a nest vertex of the subhypergraph of \mathbf{H} induced by $X \cup \{a_i, a_{i+1}, \dots, a_m\}$.

Corollary 1. Let \mathbf{H} be a totally balanced and connected hypergraph with n vertices and m edges, and let X be a subset of $V(\mathbf{H})$. The *sp-convex* hull of X can be constructed in $O(n^2m)$ time.

Proof. By Proposition 1, the *sp-convex* hull of X can be obtained by repeatedly deleting the nest vertices of \mathbf{H} that do not belong to X . Therefore, the *sp-convex* hull problem reduces to a selective deletion of nest vertices of \mathbf{H} , which can be done in $O(n^2m)$ time using the above-mentioned Anstee–Farber algorithm. \square

4. Computing sp-convex hulls

In this section we shall state a characterization of *sp-convex* sets which leads to a polynomial algorithm for finding the *sp-convex* hull of a given vertex set in an arbitrary hypergraph. To achieve this, we need the following definition.

Let X be a subset of $V(\mathbf{H})$. Two edges A and B of \mathbf{H} are *connected outside* X (*X-connected*, for short), written $A \equiv_X B$, if

$$A = B \text{ or}$$

$$(A \cap B) \setminus X \neq \emptyset \text{ or}$$

there exists an edge C of H such that

$$(A \cap C) \setminus X \neq \emptyset \quad \text{and} \quad C \equiv_X B.$$

The edge relation \equiv_X is an equivalence relation; the classes of the resultant partition of H will be referred to as the X -connected components of H , and H is X -connected if it has exactly one X -connected component. For an X -connected component C of H , we call the set $X \cap V(C)$ the boundary of C . In what follows, given two distinct vertices a and b in $X \cap V(C)$, by $C_{a,b}$ we denote the hypergraph obtained from C by deleting the vertices in $X \setminus \{a, b\}$ and the edges that contain both a and b . Note that $C_{a,b}$ need not contain a (or b) (see the example below).

Theorem 1. *A vertex set X is sp -convex if and only if either $|X| \leq 1$ or, for every nontrivial X -connected component C of H with $|X \cap V(C)| > 1$ and for every two distinct vertices a and b in the boundary of C , there exists no path between a and b in $C_{a,b}$.*

Proof. (only if) Assume that X is sp -convex. Let C be any nontrivial X -connected component of H with $|X \cap V(C)| > 1$, and let a and b be two distinct vertices in the boundary of C . If a or b is not a vertex of $C_{a,b}$ then trivially there exists no path between a and b in $C_{a,b}$. Assume that both a and b are vertices of $C_{a,b}$. By construction of $C_{a,b}$, a and b are not adjacent in $C_{a,b}$. Moreover, if a and b were connected in $C_{a,b}$, then by Remark 1 there would exist a simple path $\pi_{a,b} = (a_0, B_1, a_1, \dots, B_k, a_k)$, $k \geq 2$, between a and b in $C_{a,b}$. Therefore, there would exist a simple path $\pi = (a_0, A_1, a_1, \dots, A_k, a_k)$ between a and b in H where A_h is an edge of C being the disjoint union of B_h with some subset of $X \setminus \{a, b\}$, for all h . But then one would have $V(\pi) \setminus X \neq \emptyset$ which contradicts the hypothesis that X is sp -convex.

(if) Assume that, for every nontrivial X -connected component C of H with $|X \cap V(C)| > 1$ and for every two distinct vertices a and b in the boundary of C , there exists no path between a and b in $C_{a,b}$. Suppose by contradiction that X is not sp -convex. Then, there would exist a simple path π between two vertices a and b in X in H such that $V(\pi) \setminus X \neq \emptyset$. Let c be a vertex on π that does not belong to X , let u be the last vertex on π that is in X and precedes c in π and let v be the first vertex on π that is in X and follows c . Then u, v and c are vertices of some nontrivial X -connected component C of H ; furthermore, u and v belong to the boundary of C and are connected in $C_{u,v}$, which contradicts the hypothesis. \square

Example. Let $H = \{A_1, A_2, A_3, A_4, A_5\}$ where $A_1 = \{1, 2\}$, $A_2 = \{1, 2, 3\}$, $A_3 = \{3, 4\}$, $A_4 = \{3, 4, 5\}$. The hypergraph H is shown in Fig. 1.

Let $X = \{1, 3, 4\}$. The X -components of H are shown in Fig. 2 and C is the only the X -component of H that is not a trivial hypergraph. The boundary of C is $\{1, 3\}$. The hypergraph C_{13} is shown in Fig. 3.

Since 3 is not a vertex of C_{13} , there exists no path joining 1 and 3 in C_{13} . By Theorem 1 the set X is sp -convex, which is confirmed by the fact that the only simple paths joining two vertices in X are: $(1, A_2, 3)$, $(1, A_2, 3, A_3, 4)$, $(1, A_2, 3, A_4, 4)$, $(3, A_3, 4)$, $(3, A_4, 4)$.

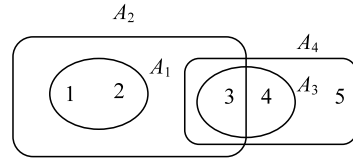


Fig. 1.

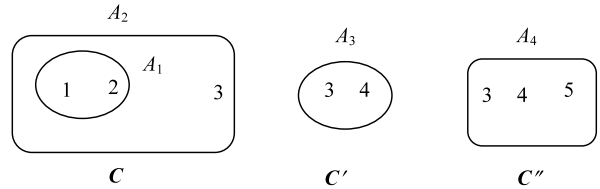


Fig. 2.

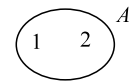


Fig. 3.

Using Theorem 1 we easily obtain a polynomial algorithm for computing the sp -convex hull of a given vertex set X . However, we can speed up the construction of the sp -convex hull of X using Remark 2. Suppose that C is a nontrivial X -connected component of H and $\pi = (a_0, A_1, a_1, A_2, \dots, A_k, a_k)$ is a simple path between two distinct vertices a and b in the boundary of C and assume that $C_{a,b}(\pi)$ contains a vertex c of degree 2 or more which is not in X . From Remark 2 we know that another simple path between a and b in $C_{a,b}$ is given by $\pi' = (a_0, A_1, a_1, \dots, A_{i'}, c, A_{i''}, a_{i''}, \dots, A_k, a_k)$ where $i' = \min\{h \leq k : c \in A_h\}$ and $i'' = \max\{h \leq k : c \in A_h\}$. Thus, we obtain the following algorithm.

SPCH algorithm

Input: a connected hypergraph H and a subset X of $V(H)$.
Output: the sp -convex hull of X in the variable Y .

```

begin
 $Y := \emptyset;$ 
 $Z := X;$ 
while  $Y \neq Z$  do
  begin
     $Y := Z;$ 
    for every nontrivial  $Y$ -connected component  $C$  of  $H$  do
      for every two distinct vertices  $a$  and  $b$  in the boundary of  $C$  that are connected in  $C_{a,b}$  do
        begin
          find a simple path  $\pi$  between  $a$  and  $b$  in  $C_{a,b}$ ;
          add to  $Z$  the vertices of  $C_{a,b}(\pi)$  with degree 2 or more
        end
      end
    end
  end

```

We will evaluate the complexity of the SPCH algorithm in terms of the number n of vertices of H , of the number m of edges of H and of the size $s = \sum_{A \in H} |A|$ of H .

We make use of the bipartite graph $G(\mathbf{H})$ to represent \mathbf{H} . Thus, $G(\mathbf{H})$ is connected and has $m+n$ nodes and s arcs.

For a given value of Y , we mark the vertex-nodes of $G(\mathbf{H})$ that belong to Y . Then, we can construct the Y -connected components of \mathbf{H} with their boundaries in $O(s)$ time and their number is $O(m)$. For a given Y -connected component \mathbf{C} of \mathbf{H} there exist $O(n^2)$ pair of vertices in the boundary of \mathbf{C} . Let $\{a, b\}$ be a pair of vertices in the boundary of \mathbf{C} . In the bipartite graph $G(\mathbf{C})$ we unmark a and b and we mark the edge-nodes adjacent to both a and b . Thus, we can construct $G(\mathbf{C}_{a,b})$ by ignoring the marked nodes of $G(\mathbf{C})$ and, if a and b are connected in $G(\mathbf{C}_{a,b})$, in $O(s)$ time we can construct a shortest path π between a and b in $G(\mathbf{C}_{a,b})$ and find the set Y' of vertex-nodes of $G(\mathbf{C}_{a,b}(\pi))$ with degree 2 or more. Note that π is also a chordless path in $G(\mathbf{C}_{a,b})$ and, hence, a simple path between a and b in $\mathbf{C}_{a,b}$. Finally, we can add Y' to Z in $O(n)$ time. Therefore, since $n < s$, processing a given value of Y requires $O(n^2ms)$ time. Since Y can assume $O(n)$ distinct values the complexity of the SPCH algorithm is $O(n^3ms)$.

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